

Tom Harrington, CFP® , AIF® , CRPS®

**Brochure Supplement
(Part 2B of Form ADV)
Dated 2/18/2022**

ProVise Management Group, LLC

SEC File Number: 801 – 32172

611 Druid Road E., Suite 105

Clearwater, Florida 33756

www.provise.com

This brochure supplement provides information about Tom Harrington that supplements the ProVise Management Group, LLC (“ProVise”) brochure. You should have received a copy of that brochure. Please contact V. Raymond Ferrara, Chief Compliance Officer at (727) 441-9022 or info@provise.com if you did not receive ProVise’s brochure or if you have any questions about the contents of this supplement.

Additional information about Tom Harrington is available on the SEC’s website at www.sec.gov/investor/brokers.htm.

The information in this brochure supplement has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Educational Background and Business Experience

Tom Harrington was born in 1970. He graduated from the State University of New York at Oneonta with a Bachelor of Science degree in Business Economics, with a minor in Finance, in 1992. In the years to follow, he obtained his CERTIFIED FINANCIAL PLANNER™ (CFP®), Accredited Investment Fiduciary® and Chartered Retirement Plans SpecialistSM (CRPS®) designations and several FINRA licenses. These designations are explained in further detail below:

- CERTIFIED FINANCIAL PLANNER™ (CFP®) – Issued by the Certified Financial Planner Board of Standards (202-379-2200, www.cfp.net). The CFP® designation is conveyed to financial professionals who have met rigorous professional standards and have agreed to the principles of integrity, objectivity, competence, fairness, confidentiality, professionalism and diligence when dealing with clients. Successful candidates must typically hold a Bachelor's degree, complete required course of study, hold relevant work experience, pass a background check, pass an extensive examination and comply with a code of ethics. Continuing Education Requirements - 30 hours every two years.
- Accredited Investment Fiduciary® (AIF®) – The AIF® designation is granted by fi360, formerly known as the Center for Fiduciary Studies (866-390-5080, www.fi360.com). Those who earn the AIF® mark, successfully complete a specialized program on investment fiduciary standards of care, pass a comprehensive examination and attest to a Code of Ethics. The AIF® designation represents a thorough knowledge of and ability to apply the fiduciary Practices. Through fi360's AIF Training programs, AIF® designees learn the Practices and the legal and best practice framework they are built upon. AIF® designees have a reputation in the industry for the ability to implement a prudent process into their own investment practices as well as being able to assist others in implementing proper policies and procedures. AIF® designees are qualified to conduct independent fiduciary reviews for nonprofit endowments and foundations, ERISA retirement plans, private family trusts and high net worth individuals. Continuing Education Requirements: six combined hours of continuing professional education each renewal year (at least four hours must be accumulated from fi360-produced sources).
- Chartered Retirement Plans SpecialistSM (CRPS®) – The CRPS® designation is granted by the Center for Financial Planning® - a Kaplan Company (800-237-9990, www.cffp.edu). The CRPS® designation is obtained by financial advisors and other professionals who successfully complete a program that focuses on retirement planning for employees and management in for-profit companies and public section organizations, as well as pass a comprehensive examination. This program addresses the types and characteristics of retirement plans, IRAs, SEP, SIMPLE, 401(k), and defined benefit plans. It also includes coursework that covers non-profit and government plans, qualified and IRA distributions, plan design, installation, and administration, and fiduciary issues, to demonstrate their expertise in administering retirement plans for businesses and wholesale clients. Continuing Education Requirements – 16 hours every two years.

With over 20 years of experience, Tom has provided both individual and institutional financial and retirement plan advice. Tom views his role as an educator helping employees save for a more comfortable retirement while assisting Plan Sponsors with their fiduciary responsibilities.

Originally from New York, Tom began his career working on Wall Street for a national broker dealer. In 2005, he migrated to Florida as an Investment Consultant helping clients with their investment allocations and financial planning needs. Due to a merger, Tom had transitioned over to Raymond James where he found his niche of working with ERISA qualified retirement plans. Prior to joining Provise, Tom was working in Tampa as a Retirement Plan Consultant for a local financial company. Tom enjoys giving back to the community by volunteering his time serving local organizations.

In 2021, Tom joined ProVise Management Group, LLC (“ProVise”) as a Retirement Plan Advisor. He is also a Registered Representative of Kestra Investment Services, LLC, a Broker-Dealer and member of FINRA/SIPC.

Disciplinary Information

NONE

Outside Business Activities

Kestra Investment Services, LLC – Registered Representative

ProVise is affiliated with Kestra Investment Services, LLC (“Kestra IS”). Tom, in his separate and individual capacity, may receive commissions, bonuses and other compensation based on the sale of securities or other investment products as a registered representative of Kestra Investment Services, LLC (Broker-Dealer). This may create a conflict of interest and give him an incentive to recommend products based on the compensation received, rather than on the client’s needs. However, as a CFP® professional, Tom is bound to a Code of Ethics to work with his clients on a fiduciary basis placing his client’s interests ahead of his own. Additionally, as an investment advisor representative of ProVise, he is also required to act as a fiduciary. Thus, conflicts such as the one above, if they cannot be eliminated, are disclosed and managed. If Tom sells an investment product on a commission basis, ProVise generally does not charge an advisory fee in addition to the commission you pay for such product. Conversely, when ProVise receives advisory fees for its services, Tom does not also receive commission for such advisory services. However, you may engage ProVise to provide investment management services on an advisory fee basis and separately Tom, in his capacity as an agent of a broker-dealer, may also receive compensation, from the sale of a certain security or investment products recommended. Any compensation received from Kestra IS is assigned to ProVise. His time spent on this activity is less than 5%.

Additional Compensation

NONE

Supervision

ProVise has established a system of compliance and supervision we believe is reasonably designed to oversee the activities of Tom Harrington in accordance with applicable law. Ray Ferrara, Executive Chair and CCO, is his designated supervisor. Ray (and/or his designee) monitors Tom's activities throughout our client relationship management system and with frequent office interactions. Ray Ferrara may be contacted via email at ferrara@proviser.com or via phone at 727-441-9022, ext. 232.