

# **Steve Athanassie, CFP® , AIFA® , CPFA**

## **Brochure Supplement (Part 2B of Form ADV) Dated 2/18/2022**

**ProVise Management Group, LLC**

**SEC File Number: 801 – 32172**

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**Clearwater, Florida 33756**

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**This brochure supplement provides information about Steve Athanassie that supplements the ProVise Management Group, LLC (“ProVise”) brochure. You should have received a copy of that brochure. Please contact V. Raymond Ferrara, Chief Compliance Officer at (727) 441-9022 or [info@provise.com](mailto:info@provise.com) if you did not receive ProVise’s brochure or if you have any questions about the contents of this supplement.**

**Additional information about Steve Athanassie is available on the SEC’s website at [www.sec.gov/investor/brokers.htm](http://www.sec.gov/investor/brokers.htm).**

**The information in this brochure supplement has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.**

## **Educational Background and Business Experience**

Steve Athanassie was born in 1963. He graduated with a Bachelor's degree in Finance, with a minor in Psychology from The University of South Florida in 1984. In the years to follow, he obtained his securities, investment advisory and insurance licenses, as well as earning his CERTIFIED FINANCIAL PLANNER™ (CFP®), Accredited Investment Fiduciary Analyst™ (AIFA®) and Certified Plan Fiduciary Advisor (CPFA) designations. These designations are explained in further detail below:

- CERTIFIED FINANCIAL PLANNER™ (CFP®) – Issued by the Certified Financial Planner Board of Standards (202-379-2200, [www.cfp.net](http://www.cfp.net)). The CFP® designation is conveyed to financial professionals who have met rigorous professional standards and have agreed to the principles of integrity, objectivity, competence, fairness, confidentiality, professionalism and diligence when dealing with clients. Successful candidates must typically hold a Bachelor's degree, complete required course of study, hold relevant work experience, pass a background check, pass an extensive examination and comply with a code of ethics. Continuing Education Requirements - 30 hours every two years.
- Accredited Investment Fiduciary Analyst™ (AIFA®) – The AIFA® designation is granted by fi360, formerly known as the Center for Fiduciary Studies (866-390-5080, [www.fi360.com](http://www.fi360.com)), Those who earn the AIFA® mark, successfully complete a specialized program on investment fiduciary standards of care, pass a comprehensive examination and attest to a Code of Ethics. The AIFA® designation represents a thorough knowledge of and ability to apply the fiduciary Practices. Through fi360's AIFA® Training programs, AIFA® designees learn the Practices and the legal and best practice framework they are built upon. AIFA® designees have a reputation in the industry for the ability to implement a prudent process into their own investment practices as well as being able to assist others in implementing proper policies and procedures. AIFA® designees are qualified to conduct independent fiduciary reviews for nonprofit endowments and foundations, ERISA retirement plans, private family trusts and high net worth individuals. Continuing Education Requirements: ten combined hours of continuing professional education each renewal year (at least six hours must be accumulated from fi360-produced sources).
- Certified Plan Fiduciary Advisor (CPFA) – The CPFA designation is granted by the National Association of Plan Advisors “NAPA” (703-516-9300, [www.napa-net.org](http://www.napa-net.org)). Plan advisors who earn their CPFA demonstrate the expertise required to act as a plan fiduciary or help plan fiduciaries manage their roles and responsibilities. Successful candidates complete a required course of study and pass a proctored exam. Continuing Education Requirements – 20 hours every two years; two of which must be on an ethics/professionalism topic.

From 1985 through the end of 1995, Steve was affiliated with IDS Financial Services, IDS Life Insurance Company, and American Express Financial Advisors as a Registered Representative and licensed Florida insurance agent.

In 1995, he founded and served as Managing Principal for Trademark Capital Management, Inc. During his tenure at Trademark Capital, he also founded Trademark Capital Tax & Accounting, Inc. From 1997 through the end of 2004, Steve was securities registered as a Registered Principal and Investment Advisory Representative with FSC Securities Corporation. He resigned from Trademark Capital Management, Inc. in February 2013.

In February 2013, Steve joined ProVise Management Group, LLC (“ProVise”). He is an Investment Advisory Representative and licensed Insurance Agent of ProVise and serves as Director of Private Wealth Management.

### **Disciplinary Information**

NONE

### **Outside Business Activities**

NONE

### **Additional Compensation**

NONE

### **Supervision**

ProVise has established a system of compliance and supervision we believe is reasonably designed to oversee the activities of Steve Athanassie in accordance with applicable law. Ray Ferrara, Executive Chair and CCO, is his designated supervisor. Ray (and/or his designee) monitors Steve’s activities throughout our client relationship management system and with frequent remote office interactions. Ray Ferrara may be contacted via email at [ferrara@proviser.com](mailto:ferrara@proviser.com) or via phone at 727-441-9022, ext. 232.